**RESTART ENERGY DEMOCRACY**

**CARBON STANDARD**

**Know Your Customer Form for Independent Validation and Verification Body**

Date: 12th December 2022

Version 1.0

# GENERAL INFORMATION

* 1. **Company Identification Data**

|  |  |
| --- | --- |
| Name: |  |
| Registered office: |  |
| Mailing address:  | *(if different from the Registered office)* |  |
| Registration number/code: |  |
| Phone number: |  |
| Website:  |  |
| Contact person: |  |
| Email: |  |

* 1. **Banking References**

|  |  |
| --- | --- |
| Name: |  |
| Registered office: |  |

|  |  |  |
| --- | --- | --- |
| Mailing address:  | *(if different from the Registered office)* |  |
| Registration number/code: |  |
| Phone number: |  |
| Website:  |  |
| Contact person: |  |
| Email: |  |

* 1. **Legal Status of the Company**

|  |  |
| --- | --- |
| * [ ] Stand-alone entity
 | * [ ] Association representative (specify)
 |
|  | \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |
| * [ ] Group member (specify)
 | * [ ] Other (specify)
 |
| \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |

* 1. **Partner History**

**1.4.1** Date and place of establishment of the partner

**1.4.2** The partner was established as a result of an operation to transform another legal entity (*e.g.* merger, split-off, detachment)?

|  |  |
| --- | --- |
| * [ ] Yes
 | * [ ] No
 |

If the answer is “yes”, specify the name and identification data of the entity (s) at the origin of the partner:

**1.4.3** Ownership of the partner’s share capital

Shareholders (associates) of the partner

|  |  |  |
| --- | --- | --- |
| Shareholder’s name | Identification data[[1]](#footnote-1) | The ratio of share capital (%) |
|   |  |  |
|  |  |  |

Final beneficiaries[[2]](#footnote-2) of the shares issued by the partner **- .....................**

**1.4.4** Partner Group

Indicate the identification data of any entities (companies, associations, foundations, economic interest groups, etc.) in which the partner holds shares/interests or in which the partner's shareholders hold shares/interests, together with the percentage share of ownership of the partner and the partner's shareholder, respectively, in the entity concerned.

|  |  |  |
| --- | --- | --- |
| Owned entity identification data[[3]](#footnote-3)  | Name / entity’s name / holding person[[4]](#footnote-4)  | The ratio of share capital (%) |
|  |  |  |
|  |  |  |
|  |  |  |
|  |  |  |
|  |  |  |
|  |  |  |

* 1. **Government or administrative affiliations**

**1.5.1** Is any shareholder, director, director, employee, representative, or consultant of the partner or members of the partner group, also, **an employee or official of a governmental or administrative entity**?

|  |  |
| --- | --- |
| * [ ] Yes
 | * [ ] No
 |

If the answer is "yes", write down the name of the person concerned, and the public office held:

**1.5.2** Has any shareholder, director, director, employee, representative or consultant of the partner or members of the Partner Group **a relative who is an employee or official of a government or administrative entity**?

|  |  |
| --- | --- |
| * [ ] Yes
 | * [ ] No
 |

If the answer is “yes”, describe below:

**1.5.3** Is any shareholder, director, director, employee, representative or consultant of the partner or members of the Partner Group, A **Politically Exposed Person**[[5]](#footnote-5)?

|  |  |
| --- | --- |
| * [ ] Yes
 | * [ ] No
 |

If the answer is “yes", write down the name of the person concerned and the reason for the political exposure:

1. **LEGAL COMPLIANCE**

**2.1 Relations with Entities in FATF Countries[[6]](#footnote-6)**

**2.1.1** The partner, or any of its shareholders, beneficial owners, or members of its group, maintains business or collaboration relationships with state or private entities located or operating in states/jurisdictions that FATF has included in **the list of high risk or non-cooperating countries or the list of CSB/CFT strategic deficiencies** and who have not made sufficient progress in addressing the deficiencies or have not committed to an action plan developed together with FATF to address the deficiencies[[7]](#footnote-7)?

|  |  |
| --- | --- |
| * [ ] Yes
 | * [ ] No
 |

If the answer is “yes”, describe below or in an attached document the type and nature of the collaboration:

**2.1.2** The partner, or any of the shareholders, beneficial owners or members of its group, or persons in the management of any of them, has been or is the subject of an **investigation, investigations, civil litigation, or criminal case or a governmental or administrative investigation, regarding the commission of acts of corruption, acts assimilated to acts of corruption, anti-competitive acts, acts on tax evasion or facts on money laundering**? If so, describe each case below or in an attached document:

|  |  |
| --- | --- |
| * [ ] Yes
 | * [ ] No
 |

If the answer is “yes”, describe below or in an attached document the subject of the actions and their result:

**2.1.3** Has been any of the present or past management members of the partner, or of any of the shareholders, beneficial owners, or members of its group, or of the entity from which the partner originates, declared to be in **a** **state of incompatibility or conflict of interest**, in the sense of the legislation on transparency in the exercise of public dignity, public functions and in the business environment, preventing and sanctioning corruption?

|  |  |
| --- | --- |
| * [ ] Yes
 | * [ ] No
 |

If the answer is “yes”, describe below or in an attached document the situation and the legal consequences:

**2.1.4** Has any of the current or past management members of the partner, or of any of the shareholders, beneficial owners, or members of its group, or of the entity from which the partner originates, applied for election to an elective position?

|  |  |
| --- | --- |
| * [ ] Yes
 | * [ ] No
 |

If the answer is “yes”, describe below or in an attached document the subject of the actions and their result:

**2.1.5** Does the partner have internal procedures on preventing and combating corruption, tax evasion or money laundering?

|  |  |
| --- | --- |
| * [ ] Yes
 | * [ ] No
 |

If the answer is “yes”, describe below or in an attached document:

**2.1.6** Are the financial statements and the activity of the partner audited?[[8]](#footnote-8)

|  |  |
| --- | --- |
| * [ ] Internal Audit
 | * [ ] External Audit
 |
| * [ ] Both
 | * [ ] None
 |

# MANAGEMENT AND PERSONNEL COMPETENCE

|  |  |
| --- | --- |
| **Contact information** |  |
| Provide the contact details for the individual designated by the IVVB as the direct point of contact with RED Carbon Standard. |
| **Name:** | Provide the name of the contact person. |
| **Position:** | Provide the position held by the contact person. |
| **Telephone:**  | Provide the contact person's telephone number. |
| **Mail:** | Provide the email address of the contact person. |

|  |  |
| --- | --- |
| **Additional information** |  |
| In this section, please provide a detailed description of any pertinent information or documentation that holds significance for the application process seeking IVVB authorization under the RED Carbon Standard – Sustainability Criteria for IVVB v1.1. |
|   |

**3.1 IVVB Accreditation**

Please disclose all accreditations currently held by the IVVB, accompanied by any available evidence demonstrating authorization by the RED Carbon Standard.

| **Accreditation** | **Sectoral scope** | **Choose with X which one** | **Supporting documentation** |
| --- | --- | --- | --- |
| IVVB accredited to International Accreditation Forum Member (Where applicable, please enter the name of the IAF member signatory.) | Energy industries (renewable) |  | Please provide the name of the document |
| Energy distribution |  |
| Energy demand |  |
| Manufacturing industries |  |
| Chemical industries |  |
| Construction sector |  |
| Transport sector |  |
| Mining and mineral production sector  |  |
| Metal production sector |  |
| Fugitive emissions from fuels (solid, oil and gas) |  |
| Fugitive emissions from production and consumption of Halocarbons and Sulphur hexafluoride |  |
| Solvent use |  |
| Waste handling and disposal |  |
| Afforestation and reforestation |  |
| Agriculture |  |
| Details of accreditation to IAF member | (Provide the necessary accreditation information.) |
| IVVB accredited as Designated Operational Entities (DOE) to Clean Development Mechanism (CDM) | Energy industries (renewable) |  | Please provide the name of the document or other type of information |
| Energy distribution |  |
| Energy demand |  |
| Manufacturing industries |  |
| Chemical industries |  |
| Construction sector |  |
| Transport sector |  |
| Mining and mineral production sector  |  |
| Metal production sector |  |
| Fugitive emissions from fuels (solid, oil and gas) |  |
| Fugitive emissions from production and consumption of halocarbons and sulphur hexafluoride |  |
| Solvent use |  |
| Waste handling and disposal |  |
| Afforestation and reforestation |  |
| Agriculture |  |
| Details of accreditation as DOE  | (Please enter accreditation details) |

**Signature of the IVVB**

| **Information** |  |
| --- | --- |
| **Name of the IVVB:** | (Provide the name of the IVVB) |
| **IVVB ID:**  | (Provide the identification number of the IVVB) |
| **Name of the IVVB Director:** | (Provide the name of the director of the IVVB) |
| **IVVB Director ID:** | (Provide the IVVB director's identification number) |
| **Signature:**  |  |

**Applicant**

|  |  |  |
| --- | --- | --- |
| Name and signature |  | City |
| ID |  | Date |

# DOCUMENT UPDATE

|  |  |  |
| --- | --- | --- |
| **Version**  | **Date**  | **Comments or additional information** |
| 1  | 12.12.2022  | Initial version of the document. |

1. For legal entities: registered office address, registration number in public registers. For individuals: citizenship, domicile, personal code, series, and number of identity document. [↑](#footnote-ref-1)
2. Any natural person who ultimately owns or controls the partner and/or the individual on behalf of or in whose interest the ownership of the shares issued by the partner is carried out, directly or indirectly. (minimum percentage of ownership - 20% of share capital) [↑](#footnote-ref-2)
3. Name, form of organization (company, association, etc.), address of registered office, registration number in public registers. [↑](#footnote-ref-3)
4. According to the entities / persons referred to in 2.3.1 and 2.3.2. [↑](#footnote-ref-4)
5. Politically exposed persons are individual who hold or have held important public office, direct members of their families, as well as persons publicly known as close associates of individual holding important public office. [↑](#footnote-ref-5)
6. International Financial Action Task Force is the inter-governmental body that sets international standards, develops and promotes policies to combat money laundering and terrorist financing. [↑](#footnote-ref-6)
7. The list of countries can be found on the FATF website <http://www.fatf-gafi.org/> [↑](#footnote-ref-7)
8. Multiple response possibility [↑](#footnote-ref-8)